



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/428,692 10/28/99 CARR

D 18475-016

0232/1118

NOT ASSIGNED

DAVID B BERNSTEIN  
MINTZ LEVIN COHN FERRIS  
GLOVSKY AND POPEO PC  
ONE FINANCIAL CENTER  
BOSTON MA 02111



1614

DATE MAILED: 11/18/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

N Black

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



- Sector #  
#3

ATTORNEY'S DOCKET NO.: 18475-016

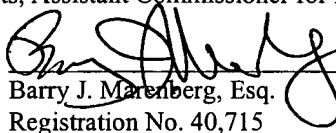
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Daniel B. Carr et al.  
Serial No.: 09/428,692  
Filed: October 28, 1999  
For: NOVEL CHIMERIC ANALGESIC PEPTIDES  
Examiner: Not Yet Assigned  
Group Art Unit: 1614

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC. 20231 on the 15th day of May, 2000.

  
Barry J. Marenberg, Esq.  
Registration No. 40,715

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**Box Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing in the present application are the following documents:

- [X] Response to Notice to File Missing Parts;
- [X] Copy of Notice to File Missing Parts of Application;
- [X] Check No. 5964 in the amount of \$130.00 to cover the missing parts fee;
- [X] Signed Declaration for Patent Application;
- [X] Petition for Four-Month Extension of Time;
- [X] Check No. 5965 in the amount of \$1850.00 to cover the extension of time; and
- [X] Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617/542-6000, Boston, Massachusetts.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Ref. No. 18475-016. A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,



A handwritten signature in black ink, appearing to read "David B. Bernstein".

David B. Bernstein, Esq.  
Registration No. 32,112  
Barry J. Marenberg, Esq.  
Registration No. 40,715  
Mintz, Levin Cohn, Ferris,  
Glovsky and Popeo, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
Telephone 617/542-6000  
Attorneys for Applicant

Date: May 15, 2000

Attorney Docket No. 18475-016

TRADOCS:1329954.1(\$\$7601!.DOC)



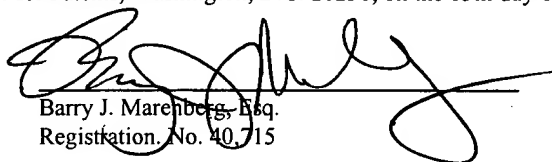
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Barry J. Marenberg, Esq.  
Registration No. 40,715

**Box Missing Parts**

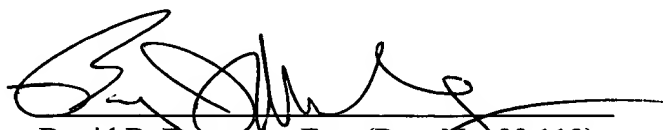
Assistant Commissioner for Patents  
Washington, D.C.

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

Responsive to the Notice To File Missing Parts mailed November 18, 1999, Applicants submit herein payment of the surcharge for late filing (\$130.00), petition for four month extension of time to respond to missing parts and payment of \$1850.00 for petition fee, and executed Declaration for Patent Application.

Respectfully submitted,

  
David B. Bernstein, Esq. (Reg. No. 32,112)  
Barry J. Marenberg, Esq. (Reg. No. 40,715)  
Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.  
One Financial Center  
Boston, MA 02111  
Telephone 617/542-6000  
Attorneys for Applicants